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PATENT, TRADEMARK OFFICE

GIP 1764
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hong et al. Attorney Docket No. MESO0026

Serial No.: 09/686,917 Group Art Unit: 1764

Filed: October 9, 2000 Examiner:

Title: INTEGRAL COMPACT HEAT EXCHANGER AND CATALYTIC REACTOR
FOR SCAVENGING CONTAMINANTS FROM AIR

INFORMATION DISCLOSURE STATEMENT

Bellevue, Washington 98004

January 10, 2001

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Applicants are aware of the information listed in the attached form that may be material to the prosecution of the above-identified patent application.

- ☒ 1. Copies of the listed patents, publications, and other information are enclosed for the Examiner's use.
- ☐ 2. Copies of the listed patents, publications, and other information were previously cited by or submitted to the U.S. Patent and Trademark Office in prior application Serial No. _____, filed _____, and relied upon for an earlier filing date under 35 U.S.C. § 120.
- ☐ 3. A concise explanation of the relevance of document I.D. No. _____ (which is not in the English language), as presently understood by the individual designated under 37 C.F.R. § 1.56(c) most knowledgeable about its content, is provided _____.
- ☒ 4. Pursuant to 37 C.F.R. § 1.97(b), this information disclosure statement is being filed within three months of the filing date of the national application, within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, or before the mailing date of a first Office Action on the merits.
- ☐ 5. Pursuant to 37 C.F.R. § 1.97(c), this information disclosure statement is being filed after the period set forth in 37 C.F.R. § 1.97(b) but before the mailing date of either a final action under 37 C.F.R. § 1.113, or a notice of allowance under 37 C.F.R. § 1.311, and is accompanied by:
- a. _____ a certification as specified in 37 C.F.R. § 1.97(e); or
- b. _____ the fee set forth in 37 C.F.R. § 1.17(p). Check No. _____ in the amount of \$ _____ is enclosed.

1 6. Pursuant to 37 C.F.R. § 1.97(d), this information disclosure statement is being filed
2 after the mailing date of either:
3 a. _____ a final action under 37 C.F.R. § 1.113; or
4 b. _____ a notice of allowance under 37 C.F.R. § 1.311,
5 but before payment of the issue fee. The statement is accompanied by a
6 certification as specified in 37 C.F.R. § 1.97(e), a petition requesting
7 consideration of the information disclosure statement, and the petition fee set
8 forth in 37 C.F.R. § 1.17(i)(1). Check No. _____ in the amount of
9 \$_____ is enclosed.

10 X 7. Please charge any additional fees or credit any overpayment to Deposit Account
11 No. 01-1940. A copy of this sheet is enclosed.

12 Respectfully submitted,

13 *Ron Anderson*

14 Ronald M. Anderson
15 Registration No. 28,829

16 I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed
17 envelope as first class mail with postage thereon fully prepaid addressed to: Commissioner of Patents and
18 Trademarks, Washington, D.C. 20231, on January 10, 2001.

19 Date: January 10, 2001

20 *Michelle L. Brien*

**INFORMATION CITED BY APPLICANT(S) THAT MAY BE MATERIAL TO THE
PROSECUTION OF THE SUBJECT APPLICATION**

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U.S. PATENT DOCUMENTS

NONE CITED

U.S. PATENT DOCUMENTS

*Examiner Initial	ID	Document No.	Date	Name	Class	Sub- Class
_____	US1	4,350,571	9/21/1982	Erickson	203	21
_____	US2	4,790,860	12/13/1988	Sexton	55	59
_____	US3	5,294,410	3/15/1994	White	422	171
_____	US4	5,534,328	7/9/1996	Ashmead et al.	428	166
_____	US5	5,811,062	9/22/1998	Wegeng et al.	422	129
_____	US6	5,997,619	12/7/1999	Knuth et al.	96	224
_____	US7	6,001,145	12/14/1999	Hammes	55	471
_____	US8	6,070,658	6/6/2000	Cipriani	165	166
_____	US9	6,082,439	7/4/2000	Kato et al.	165	79
_____	US10	6,082,445	7/4/2000	Dugan	165	167

FOREIGN PATENT DOCUMENTS

NONE CITED

OTHER INFORMATION

NONE CITED

Examiner's Signature _____

Date _____

*Examiner: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RMA:mlb
1/10/01

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